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## STABILIZATION OF THE LOWER HYBRID DRIFT INSTABILITY BY RESONANT ELECTRONS

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Memorandum No. UCB/ERL M81/72 16 September 1981

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# STABILIZATION OF THE LOWER HYBRID DRIFT INSTABILITY BY RESONANT ELECTRONS

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### **ABSTRACT**

The lower hybrid drift instability was studied with a two dimensional electrostatic simulation code. Simulations showed good agreement of the measured local growth rates and frequencies with the results of local theory during the early stage of wave growth. At later times nonlocal effects become important, and a coherent mode structure develops. This normal mode was observed to propagate up the density gradient.

At zero plasma beta and zero electron temperature, we found that the lower hybrid drift instability is stabilized by the local current relaxation due to both ion quasilinear diffusion and electron  $\vec{E} \times \vec{B}$  trapping which causes electron heating to occur.

## I. INTRODUCTION

In the past several years the lower hybrid drift instability<sup>1</sup> has attracted considerable interest within the plasma physics community, since it is likely that this mode limits plasma confinement in theta pinches and field-reversed configurations. This instability was simulated by two of the authors previously in the low drift velocity regime ( $v_d \ll v_H$ ) by using an one-dimensional, electrostatic particle hybrid code<sup>2</sup>.  $v_d = v_{de} - v_{dl}$  is the difference between the electron and ion cross-field velocities, and  $v_H = (T_H/m_I)^{V_L}$  is the ion thermal velocity. It was found that if the relative electron-ion drift velocity is kept constant in time, which models a finite beta plasma<sup>3</sup>, ion trapping causes saturation of the instability. If this drift is allowed to decrease consistent with momentum balance, which is related to the zero beta plasma case, then saturation is due to current relaxation<sup>4,5</sup>. Two dimensional particle simulations of this instability with a finite plasma beta value for large drift velocities ( $v_d \gg v_H$ ) were performed by Winske and Liewer<sup>6</sup>. Ion trapping was also the saturation mechanism in their simulations. Our object in this paper is to study the lower hybrid drift instability at zero plasma beta in the low drift velocity regime with both a two dimensional electrostatic simulation code and a nonlocal theory.

A slab configuration is used with a density gradient in x (Fig. 1). In the initial Vlasov equilibrium, the ion pressure gradient balances the zeroth order ambipolar electric force on the ions. Since the characteristic frequency of the lower hybrid drift instability is much greater than the ion cyclotron frequency, the ions may be treated as unmagnetized particles.

Simulations show good agreement of the measured local growth rates and frequencies with the results of local theory<sup>4</sup> during the early stage of wave growth when the wave energy is mostly localized at the region  $x_0$  where electrons have the largest  $\vec{E} \times \vec{B}$  drift velocity. After a transit time, i.e., the time for the wave pocket traveling at the group velocity to across the whole system along the density gradient. Nonlocal effects become important and a coherent mode structure develops. This normal mode is observed to propagate from  $x_0$  across the zeroth order density gradient to regions where the electron drift velocity  $\nu_E$  equals the wave phase velocity  $(\omega/k_y)$ , and to be damped by these resonant electrons.  $\nu_E$  is electron  $\vec{E} \times \vec{B}$  drift velocity

city due to the ambipolar electric field.

At zero plasma beta and zero electron temperature, we found that the lower hybrid drift instability is stabilized by current relaxation due to both ion quasilinear diffusion  $^{4.5}$  and electron  $\vec{E} \times \vec{B}$  trapping  $^7$ . According to Drake and Huba  $^7$ , electrons with a drift velocity (including both the zeroth order and the perturbed  $\vec{E} \times \vec{B}$  drift velocity in the y direction) which is greater than or equal to the wave phase velocity, are in resonance with the wave, and can become trapped. This electron  $\vec{E} \times \vec{B}$  trapping was observed in the simulation. The trapping caused local current relaxation by modificating of the electron density profile. The neighborhood of the point where the electrons have the greatest relative drift velocities is the most unstable region according to local theory. In this region, we found that the current relaxes by modification of the ion velocity distribution function as well.

In Sec. II, a description of the simulation model and initial equilibrium is presented. Comparisons of observed linear, local properties of the lower hybrid drift instability with linear local theory are made and given in Sec. IIIA. Section IIIB is devoted to nonlocal effects of the lower hybrid drift instability. A nonlocal theory is presented. Current relaxation caused by ion quasilinear diffusion and electron  $\vec{E} \times \vec{B}$  trapping is discussed in Sec. IV. Conclusions are given in Sec. V.

## II. SIMULATION MODEL AND INITIAL EQUILIBRIUM

In our simulations, a slab configuration was assumed and the Vlasov equilibria are functions of x only. All the zeroth order drift velocities are in the y direction and the uniform magnetic field is in the z direction. The simulations were carried out in the x-y plane by using the two-dimensional electrostatic, fully nonlinear particle code, EZOHAR<sup>8,9</sup>. In EZOHAR, the boundary conditions along x are inversion symmetry<sup>9</sup> at the high density side and a reflecting boundary at the low density side. The simulation system is periodic in the y direction.

Since the mode frequency of the lower hybrid drift instability is much higher than the ion cyclotron frequency, ions are assumed to be unmagnetized. In the equilibrium, it was assumed

that the ion pressure force is balanced by the zeroth order ambipolar electric force. From the Vlasov equation, the ion distribution function is then only a function of energy  $H_i$  where

$$H_i = m_i v^2 / 2 + e \phi(x) . {1}$$

Let the ion distribution function  $f_i(H_i)$  be exponential, as

$$f_i(H_i) = C_i \exp[(-m_i v^2/2 + e\phi(x))/T_i] .$$
(2)

Rewrite Eq. (2) as a product,

$$f_i(H_i) = n_i(x)g_i(v) . (3)$$

Here

$$g_i(v) = C_i \exp(-m_i v^2 / 2T_i)$$
 (4)

is the Maxwellian distribution. The ion density profile is

$$n_i(x) = n_0 \exp\left[-e^{\frac{\phi(x) - \phi(0)}{T_i}}\right] \qquad (5)$$

 $n_0$  is the ion density at x=0.

Similarly, the electron equilibrium distribution function is a function of two electron invariants: energy,

$$H_e = m_e v^2 / 2 - e\phi(x) \tag{6}$$

and the guiding center position

$$X = x - v_y/\omega_{ce} \quad . \tag{7}$$

Let the electron distribution function  $f_{\epsilon}(H_{\epsilon}, X)$  be given as

$$f_e(H_e, X) = F(X) \exp(-m_e v^2/2 + e\phi)/T_e$$
 (8)

For a small electron gyroradius and a slowly varying ambipolar field, i.e.,  $a_e(d\phi/dx)\phi^{-1} << 1$ , the electric potential can be expanded around the guiding center position as

$$\phi(x) = \phi(X) + \Delta x \frac{d\phi}{dx} |_{X} + \dots$$
 (9)

where

$$\Delta x = x - X = v_y/\omega_{ce} \tag{10}$$

is the electron displacement from its guiding center. Therefore, Eq. (9) becomes

$$\phi(x) = \phi(X) - \nu_{\nu} E(X) / \omega_{ce} \quad . \tag{11}$$

Substitute Eq. (11) into Eq. (8) and rewrite Eq. (8) as

$$f_{e}(H_{e}, X) = F(X) \exp\left\{\frac{m_{e} v_{E}^{2}(X)/2 + e\phi(X)}{T_{e}} - \frac{m_{e}}{2 T_{e}} \left[v_{x}^{2} + \left(v_{y} - v_{E}(X)\right)^{2}\right]\right\}$$

$$= N_{e}(X) g_{e}(v)$$
(12)

where  $N_e(X)$  is the electron guiding center density profile and

$$g_e(v) = C_e \exp\left\{-\frac{m_e}{T_e} \left[v_x^2 + \left(v_y - v_E(X)\right)^2\right]\right\}$$
 (13)

is the electron drifting Maxwellian distribution.

From the Poisson equation,

$$\frac{dE(x)}{dx} = 4\pi e[n_i(x) - n_e(x)] , \qquad (14)$$

and Eq. (5),  $n_i(x)$  and  $n_e(x)$  can be determined by choosing an appropriate E(x), where  $n_e(x)$  is the electron particle density. The E field with the form

$$E(x) = -2E_0 \tanh(x/L) \operatorname{sech}(x/L) , \qquad (15)$$

which gives a peak value as  $-E_0$  at  $x=x_0=L\sinh^{-1}(1)$ , was chosen in our simulations. Therefore, the ion density profile is

$$n_i(x) = n_0 \exp\left[-\frac{2eE_0L}{T_i}\tanh^2(x/L)\right] . \tag{16}$$

The electron density  $n_e$  can be obtained by substituting Eqs. (15) and (16) into Eq. (14). According to Eq. (12), electrons have to be loaded by following the electron guiding center density profile  $N_e(X)$  which can approximately be expressed in terms of  $n_e$  as

$$N_e(X) \approx n_e(x) + \frac{a_e^2}{4} \frac{d^2 n_e}{dx^2} \Big|_{x}$$
, (17)

for  $a_e \frac{dn_e}{dx}/n_e << 1$ . Hence, the electron guiding center density  $N_e(X)$  is determined once E(x) has been specified. Equilibrium profiles of the normalized ion density  $n_i(x)/n_0$  and the relative electron-ion drift velocity  $v_E(x)$  are shown in Figs. 2(a) and (b).

There was a 64×64 spatial grid in the simulated system. The dimension in x was  $42.43\lambda_{Di}$  and that in y was  $44.43\lambda_{Di}$ , where  $\lambda_{Di}$  is the ion Debye length. Time step  $\omega_{pe}\Delta t=0.2$  was

chosen and 32768 particles were used for each species. The mass ratio  $m_i/m_e$  in the simulation was 100 and  $\omega_{pe}^2/\omega_{ce}^2=1$ , where  $\omega_{pe}$  and  $\omega_{ce}$  are electron plasma and cyclotron frequencies, respectively. Ty,pically  $v_{E_0}/v_{ti}$  was varied from 0.6 to 3.6, where  $v_{E_0}=cE_0/B$  is the maximum electron  $\vec{E} \times \vec{B}$  drift velocity located at  $x=x_0$ .

## III. SIMULATION RESULTS AT LINEAR STAGE

## A. Local Effects

Figure 3 shows a history plot of the simulation electrostatic energy of a single Fourier mode at  $x_0$ , where electrons have the largest  $\overline{E} \times \overline{B}$  drift velocities. According to local theory<sup>7</sup>, the region near  $x_0$  is the most unstable area. The theoretical local growth rate is drawn as a straight line. Simulation local growth rates and frequencies for different modes were measured and compared with the theoretical results in the largest drift velocity region, as shown in Figs. 4(a)-(d) for  $v_{E_0}/v_{tt}$ =0.6, 0.9, 1.2 and 3.6, respectively. The figure shows good agreement of simulations with local theory during the early stage of wave growth.

## B. Nonlocal Effects

From the simulations, it was found that nonlocal effects become important at later times, and a coherent mode structure develops along x as shown in Fig. 5. Figure 6 shows a snapshot of a potential contour plot from a single mode simulation, i.e., except for the  $k_y$ =0 mode, only one Fourier component in  $k_y$  of electric potential was used to push the particles. The  $k_y$ =0 mode is necessary in order to allow an ambipolar electric field due to the charge separation. Note that no Fourier transform of electric potential was made in the x direction. Therefore, all possible  $k_x$  modes existed in our simulations. From Fig. 6, we found that plasma system can be divided into three regions. At the most unstable region where electrons have the largest  $\vec{E} \times \vec{B}$  drift velocities, the wave vector  $\vec{k}$  was observed in the y direction as predicted by local theory. The plasma has larger density in the region left of the maximum  $\vec{E} \times \vec{B}$  drift velocity point. It was observed that the normal mode of the lower hybrid drift instability propagates toward higher density with a mean wave vector  $\vec{k} \approx k_y (-\vec{e}_x + \vec{e}_y)$  that is, with a  $k_x \approx -k_y$ . In the

second region, the lower hybrid drift wave was found to travel down the density gradient.

These phenomena may be explained by the following argument.

We have found the governing eigenmode equation for the lower hybrid drift instability in a slab geometry to be 10

$$\frac{\partial^{2}\phi_{k_{y}}(x)}{\partial x^{2}} + \frac{\omega_{pe}^{2}/\omega_{ce}^{2}}{1+\omega_{pe}^{2}/\omega_{ce}^{2}} \frac{\partial N_{e}(x)/\partial x}{N_{e}(x)} \frac{\partial\phi_{k_{y}}(x)}{\partial x} - \left[k_{y}^{2} + \frac{1}{\lambda_{Di}^{2}(x)} \frac{1}{1+\omega_{pe}^{2}/\omega_{ce}^{2}} \frac{\omega}{\omega - k_{y}} v_{E}(x)\right] \phi_{k_{y}}(x)$$

$$-i\sqrt{\frac{\pi}{2}} \frac{1}{4\pi\lambda_{Di}^{2}(x)} \frac{1}{1+\omega_{pe}^{2}/\omega_{ce}^{2}} \frac{\omega}{|k|} v_{y}} \phi_{k_{y}}(x) = 0$$
 (18)

for  $\nu_E(X) << \nu_H$ .  $\phi_{k_y}(x)$  is a Fourier component in  $k_y$  of the perturbed electrostatic potential. Let

$$\phi_{k_{j}}(x) = \psi(x) \exp\left[-\int \alpha(x) \, dx\right] , \qquad (19)$$

and put this into Eq. (18) to obtain the standard form

$$\frac{\partial \psi(x)}{\partial x^2} - Q(k_y, \omega, x)\psi(x) = 0 , \qquad (20)$$

where

$$Q(k_y,\omega,x) = Q_r(k_y,\omega,x) + iQ_i(k_y,\omega,x) , \qquad (21)$$

$$Q_{r}(k_{y},\omega,x) = k_{y}^{2} + \frac{1}{2} \frac{\partial^{2}}{\partial x^{2}} \ln(1 + \omega_{pe}^{2}/\omega_{ce}^{2}) + \frac{1}{4} \left[ \frac{\partial}{\partial x} \ln(1 + \omega_{pe}^{2}/\omega_{ce}^{2}) \right]^{2} + \frac{1}{\lambda_{Dl}^{2}(x)} \frac{1}{1 + \omega_{pe}^{2}/\omega_{ce}^{2}} \frac{\omega}{\omega - k_{y} \nu_{E}(x)} ,$$
(22)

and

$$Q_{i}(k_{y},\omega,x) = \sqrt{\frac{\pi}{2}} \frac{1}{\lambda_{Di}^{2}(x)} \frac{1}{1+\omega_{po}^{2}/\omega_{ce}^{2}} \frac{\omega}{|k| v_{ti}} . \tag{23}$$

The perturbed electric potential is related to  $\psi$  through

$$\phi(x,y,t) = \left(1 + \omega_{pe}^{2}(x)/\omega_{ce}^{2}\right)^{-1/2} \psi(x) e^{i(k_{y}y - \omega t)} . \tag{24}$$

The Fourier component  $\phi_{K_p}(x)$  of the perturbed potential, was solved for numerically by using Eq. (20), and is shown in Fig. 5(b). Comparing the simulation result Figs. 5(a) with 5(b), we see that the normal mode structures from simulations and theory are very similar.

In order to understand the contribution of resonant electrons and ions, we now derive an

equation of the wave energy flow. Assume  $\omega_0$  is the eigenfrequency of the equation

$$\frac{\partial^2 \psi}{\partial x^2} - Q_r(k_y, \omega, x)\psi(x) = 0 \tag{25}$$

where  $\omega_0 = \omega_r + i\gamma$  and  $\gamma = 0$ . Expanding  $Q(k_y, \omega, x)$  around  $\omega_0$  gives

$$Q(k_y,\omega,x) = Q(k_y,\omega_0,x) + \frac{\partial Q}{\partial \omega}(k_y,\omega_0,x)(\omega-\omega_0) = Q_0 + iQ_0'\frac{\partial}{\partial t}, \qquad (26)$$

where  $Q_0 = Q(k_y, \omega_0, x)$ ,  $Q_0' = \frac{\partial Q}{\partial \omega}(k_y, \omega_0, x)$ , and  $\omega - \omega_0$  is replaced by  $i\partial/\partial t$ . Substituting Eq. (26) into Eq. (20), we get

$$\frac{\partial^2 \psi}{\partial x^2} - Q_0 \psi - i Q_0 \frac{\partial \psi}{\partial t} = 0 \quad . \tag{27}$$

Multipling Eq. (27) with  $\psi^*$  gives

$$\psi * \frac{\partial^2 \psi}{\partial x^2} - Q_0 |\psi|^2 - i Q_0 \psi * \frac{\partial \psi}{\partial x} = 0 \quad . \tag{28}$$

Subtracting Eq. (28) from its complex conjugate, we obtain

$$\operatorname{Re}(Q_0^{'})\frac{\partial |\psi|^2}{\partial t} + 2\operatorname{Im}Q_0|\psi|^2 + i\frac{\partial}{\partial x}(\psi^*\frac{\partial \psi}{\partial x}-\psi\frac{\partial \psi^*}{\partial x}) + i\operatorname{Im}Q_0(\psi^*\frac{\partial \psi}{\partial t}-\psi\frac{\partial \psi^*}{\partial t}) = 0. \tag{29}$$

The physical meanings of those four terms in the above equation are the rate of wave energy change, the source or sink of wave energy, the flux of the wave energy, and the frequency shift due to growth or damping of the wave, respectively. The ratio of the second term to the first term gives the growth or damping rate. Let us concentrate on the second term only. According to Eqs. (21)-(23), Im  $Q_0$  can be expressed as

$$\operatorname{Im} Q_{0} = \frac{1}{\lambda_{Di}(x)} \frac{1}{1 + \omega_{pe}^{2}/\omega_{ce}^{2}} \left\{ \lim_{\gamma \to 0} \left[ -\frac{\gamma k_{y} v_{E}(x)}{\left[\omega_{r} - k_{y} v_{E}(x)\right]^{2} + \gamma^{2}} + \sqrt{\frac{\pi}{2}} \frac{\omega_{r}}{|k| v_{tt}} \right] \right\}$$

$$= \frac{1}{\lambda_{Di}(x)} \frac{1}{1 + \omega_{pe}^{2}/\omega_{ce}^{2}} \left[ -\pi k_{y} v_{E}(x) \delta\left[\omega_{r} - k_{y} v_{E}(x)\right] + \sqrt{\frac{\pi}{2}} \frac{\omega_{r}}{|k| v_{tt}} \right]. \tag{30}$$

The second term in Eq. (30) represents the resonant ions which drive the lower hybrid drift instability, a negative energy wave, as predicted by local linear theory. The delta function in the first term represents resonant electrons whose  $\vec{E} \times \vec{B}$  drift velocity equals to the y phase velocity of the wave. The opposite sign of the first term from that of the second term shows

that those resonant electrons have stabilizing effects on the lower hybrid drift instability. Suppose that the plasma has a density profile similar to the density profile shown in Fig. 2(a), and the electron  $\overline{E} \times \overline{B}$  drift velocity as function of x is similar to the drift velocities in Fig. 2(b); then there are two places in the system that electron drift velocities equal the y phase velocity of the wave excited at the most unstable region. One resonant point is near the center of the plasma ( $x\approx1.2$  in Fig. 2(b)), and another is at the outer edge of the plasma (outside of our simulation system in Fig. 2(b)). Therefore, when the lower hybrid drift wave is excited at the largest drift velocity region, the wave packet will travel in x to these two electron resonant points, where it dumps wave energy into the resonant electrons.

## IV. SATURATION MECHANISMS

Saturation mechanisms of the lower hybrid drift instability in a uniform magnetic field at zero plasma beta and zero electron temperature were studied. Simulation results show that the lower hybrid drift instability is stabilized by current relaxation which is due to both ion quasilinear diffusion and electron  $\overrightarrow{E} \times \overrightarrow{B}$  trapping. According to local theory, the neighborhood of the point where electrons have the largest relative drift velocities is the most unstable region. In this region, we found that the current relaxes by modification of the ion velocity distribution function. Figures 7(a) and (b) are ion velocity distribution functions, averaged in x over the whole system, versus  $v_x$  and  $v_y$ , respectively, for  $v_{E_0}/v_u=0.6$  from a single mode simulation. Small modifications of the ion distribution function occurred after saturation. The slight flattening around a small negative  $v_x$  in Fig. 7(a) shows that the wave propagates in the negative x direction. Similarly, Fig. 7(b) shows that the wave also propagates in the positive y direction. Hence, the lower hybrid drift mode propagates up the density gradient. For a larger drift velocity, the lower hybrid drift wave is more localized around the most unstable region. Figures 8(a) and (b) are ion distribution functions averaged over x between  $x=21\Delta x$  and  $x=32\Delta x$ , which is the most unstable region in the system, for  $v_{E_0}/v_{tt}=3.6$  from a single mode simulation, where  $\Delta x$  is the grid cell size and there are 64 grids across the system in x. Larger modifications of the ion velocity distribution functions are shown in this case.

According to Drake and Huba<sup>7</sup>, when the electron drift velocity, which includes both the zeroth order and the perturbed  $\vec{E} \times \vec{B}$  drift velocity in the y direction, is equal to or greater than the wave phase velocity, electrons are in resonance with the wave. This electron  $\vec{E} \times \vec{B}$  trapping was observed in our simulations in the range that  $v_{E_0}/v_{il}=0.6$  to 3.6. Figure 9 gives a snapshot of an electron density contour plot for  $v_{E_n}/v_n=0.9$  from a single mode simulation. The similarity of the electron density contour and the electric potential contour given in Fig. 6 shows that electrons move along constant potential contours, and electrons around x=1.2 are trapped by the wave. This electron  $\vec{E} \times \vec{B}$  trapping causes current relaxation by local flattening of the density profile around the trapping region as shown in Fig. 10 at  $x\approx 1.2$  and Fig. 11 at  $x\approx 0.8$  for  $v_{E_0}/v_{tt}=0.9$  and 3.6. The other flattening of electron density profiles in Fig. 10 at  $x\approx3.0$  and Fig. 11 at  $x \approx 2$ . are due to the ion quasilinear modifications in the most unstable regions. Fig. ures 12(a) and (b) show electron phase space (  $v_y$  versus x ) for  $v_{E_0}/v_0=0.9$  and 3.6. The initial electron temperature is zero and the initial drift velocities are given by the solid curves. We found that the averaged electron current was reduced after saturation of the wave. After subtracting the guiding center velocity from the electron total velocity, electron heating (shown in Fig. 13) due to electron  $\overline{E} \times \overline{B}$  trapping was observed starting around the electron resonant region ( $x\approx 1.2$  as shown in Figs. 2(b) and 5) and gradually spreading to the whole plasma system.

Finally, the simulated saturation levels are compared with saturation levels predicted by both ion quasilinear diffusion theory<sup>4,5</sup> and electron  $\vec{E} \times \vec{B}$  trapping<sup>7</sup>. The local approximation was used in the ion quasilinear diffusion theory which gives the saturation level due to current relaxation as

$$\frac{\epsilon}{n\Gamma_i} = \frac{1}{8} \frac{m_e}{m_i} \frac{1}{1 + \omega_{pe}^2/\omega_{ce}^2} \left(\frac{\nu_E}{\nu_{ti}}\right)^2 , \qquad (31)$$

where  $\epsilon = <\delta E^2 > /8\pi$ . Assuming that the most unstable mode is dominant, Eq. (31) can be rewritten as

$$\frac{e\delta\phi}{T_i} = \frac{1}{2} \left(\frac{m_e}{m_i}\right)^{lh} \frac{v_E}{v_{ti}} , \qquad (32)$$

where  $\delta \phi$  is the perturbed electrostatic potential. Assuming that the zeroth order ambipolar electric field vanishes and setting the perturbed  $\vec{E} \times \vec{B}$  drift velocity equal to the wave phase velocity, the saturation level of the most unstable mode due to electron  $\vec{E} \times \vec{B}$  trapping is given as

$$\frac{e\delta\phi}{T_i} = \frac{1}{2} \left( \frac{m_e}{m_i} \right)^{\frac{1}{h}} \frac{v_E}{v_{tt}} \left( 1 + \omega_{pe}^2 / \omega_{ce}^2 \right)^{-1/2} \frac{k_y}{k_x} . \tag{33}$$

In Fig. 14, Eqs. (32) and (33) are plotted for  $m_i/m_e=100$ ,  $\omega_{pe}^2/\omega_{ce}^2=1$ , and  $k_x=k_y$ . Simulation levels are smaller than both the theoretically predicted levels by roughly a factor of two; this could be due to the combination of ion quasilinear diffusion and electron  $\vec{E} \times \vec{B}$  trapping occurred in our simulations. From Fig. 14, our simulated data gives

$$\frac{e\delta\phi}{T_i} \approx \frac{1}{4} \left(\frac{m_e}{m_i}\right)^{\nu_h}_{\nu_E} \ . \tag{34}$$

Furthermore,  $v_E - \omega/k_y$  varies and even goes to zero at the electron resonant point in our simulations, where  $v_E$  is only the zeroth order  $\vec{E} \times \vec{B}$  drift velocity. This could cause electron  $\vec{E} \times \vec{B}$  trapping occurring at a lower level.

## V. CONCLUSIONS

Two-dimensional electrostatic particle simulations of the lower hybrid drift instability in the low drift velocity regime have been presented. Simulations show good agreement of the measured local growth rates and frequencies with the results of local theory during the early stage of wave growth. At later times nonlocal effects become important, and a coherent mode structure develops. We found that the lower hybrid drift instability is stabilized by the local current relaxation due to both ion quasilinear diffusion at the most unstable region and electron  $\overline{E} \times \overline{B}$  trapping around the electron resonant region. Electron heating due to electron  $\overline{E} \times \overline{B}$  trapping was observed at the electron resonant region.

## **ACKNOWLEDGMENTS**

We are very grateful to Dr. B. I. Cohen for his helpful conversations.

This research was partially supported by the Office of Naval Research Contract No. N00014-77-C-0578 (Berkeley), and in part by the Department of Energy under Contract No. W-7405-ENG-48 (Livermore). The computational portion of this work was supported by the National Magnetic Fusion Energy Computer Center at Lawrence Livermore National Laboratory.

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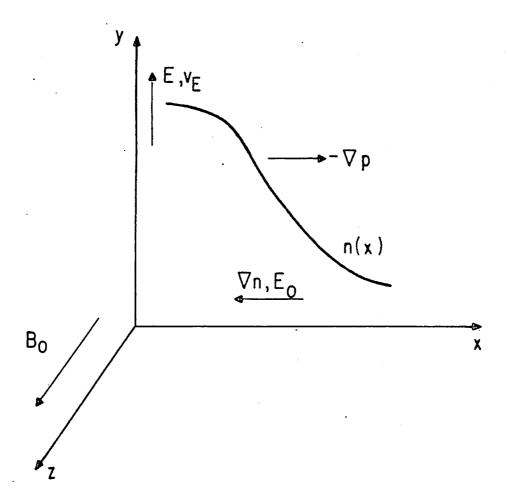


Fig. 1 Slab coordinates for lower-hybrid drift instability. Two dimensional simulations were performed in the x-y plane.

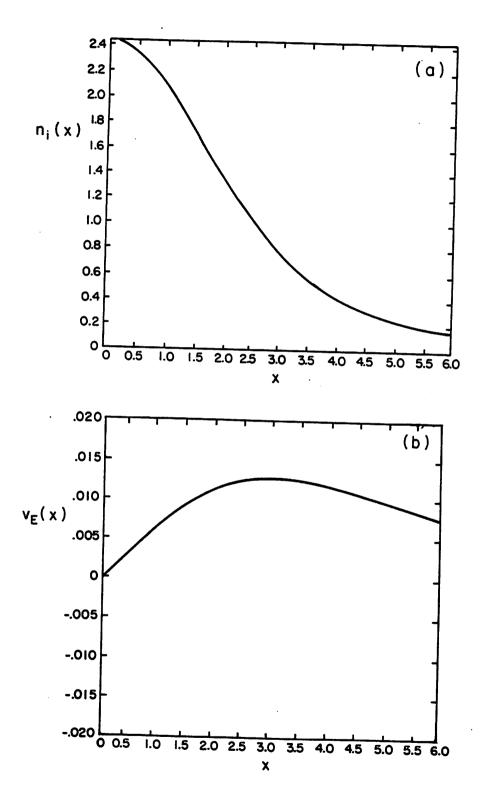


Fig. 2 Equilibrium profiles of (a) the ion density  $n_i(x)/n_0$  and (b) the relative electron-ion drift velocity  $\nu_E(x)$ .

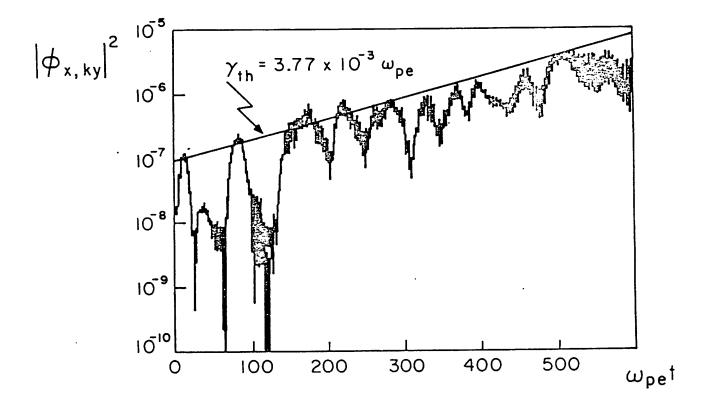


Fig. 3 History plot of the perturbed electrostatic energy of a single mode at  $x_0$  where electrons have the largest  $\vec{E} \times \vec{B}$  drift velocities. Parameters are  $m_i/me=100$ ,  $\omega_{pe}^2/\omega_{ce}^2=1$ ,  $v_E/v_{ii}=.6$  and  $T_e/T_i=0$ . The theoretical local growth rate is drawn as a straight line.

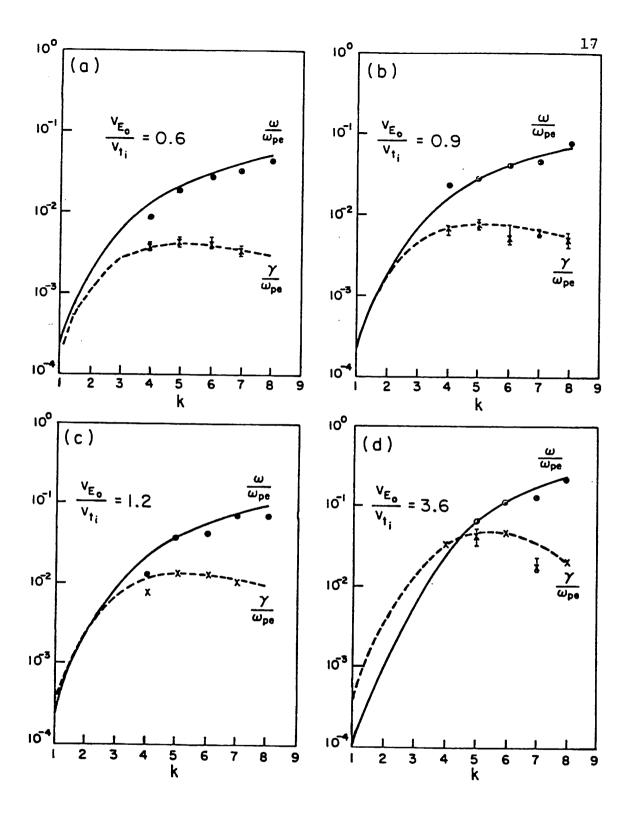


Fig. 4 Dispersion curves from local theory for the lower hybrid drift instability at where electrons have the greatest drift velocities for:  $m_i/m_e=100$ ,  $\omega_{pe}^2/\omega_{ce}^2=1$ , and  $v_{E_0}/v_{ti}=$  (a) 0.6, (b) 0.9, (c) 1.2, and (d) 3.6. Simulations results are denoted by dots ( $\omega/\omega_{pe}$ ) and crosses ( $\gamma/\omega_{pe}$ ).

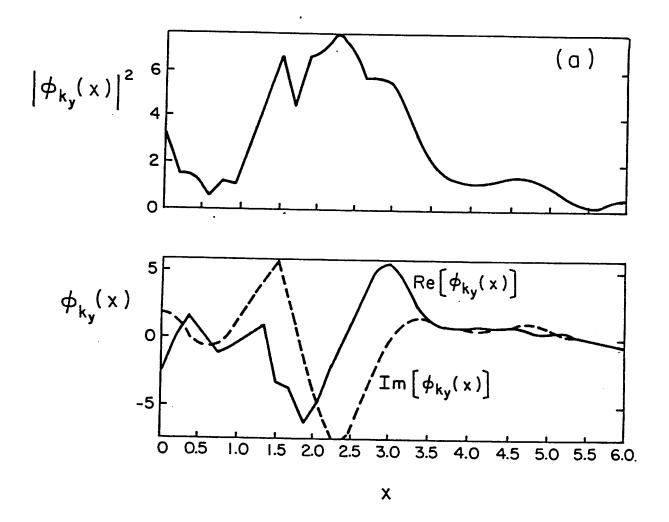
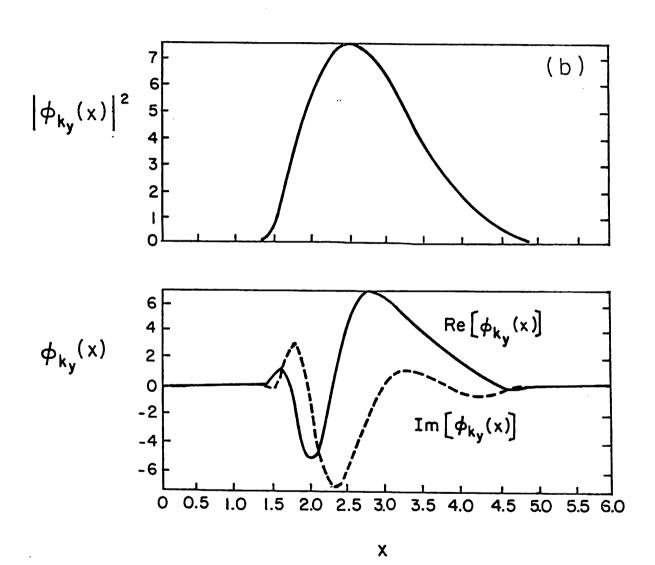


Fig. 5 (a) Simulated and (b) numerical normal mode structures of the most unstable mode for  $m_i/m_e=100$ ,  $\omega_{pe}^2/\omega_{ce}^2=1$ , and  $v_{Eq}/v_{ii}=0.9$ . Both of them show that wave amplitude vanishes around x=1.2 which corresponding to the electron resonant point.



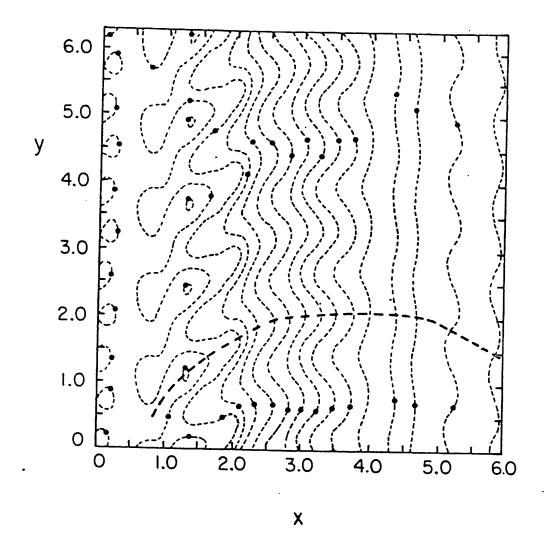


Fig. 6 Potential contours at  $\omega_{pe}t=180$  for  $m_i/m_e=100$ ,  $\omega_{pe}^2/\omega_{ce}^2=1$ ,  $\nu_{E_0}/\nu_{ii}=0.9$  and  $k_y\lambda_{Di}=0.707$ . The dashed curve shows the wave front of the wave. Note that the vector perpendicular to the wave front is roughly at  $135^{\circ}$  for 1 < x < 2 meaning  $k_x \approx -k_y$ . A small positive  $k_x$  component is also observed for x > 5.

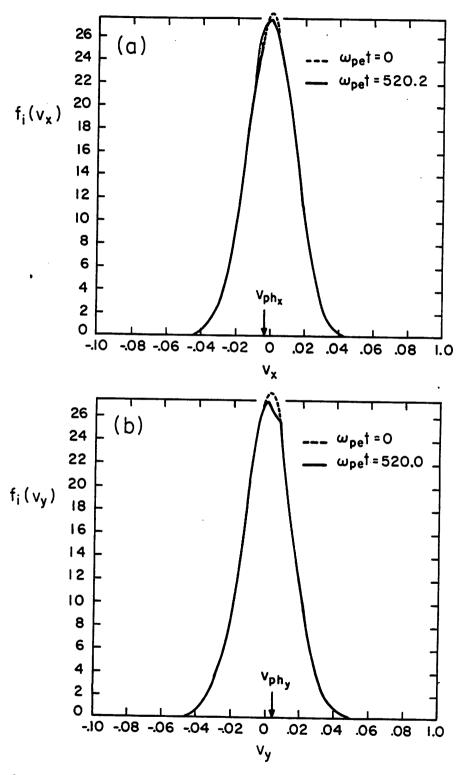


Fig. 7 Ion velocity distribution functions averaged in x over the whole system at  $\omega_{pe}t=0$  (dashed curve) and after saturation (solid curve) versus (a)  $v_x$  and (b)  $v_y$  for  $v_{E_0}/v_{ti}=0.6$ . The small modifications at  $v_{ph_x}<0$ . and  $v_{ph_y}$  imply that the wave propagates up the density gradient.

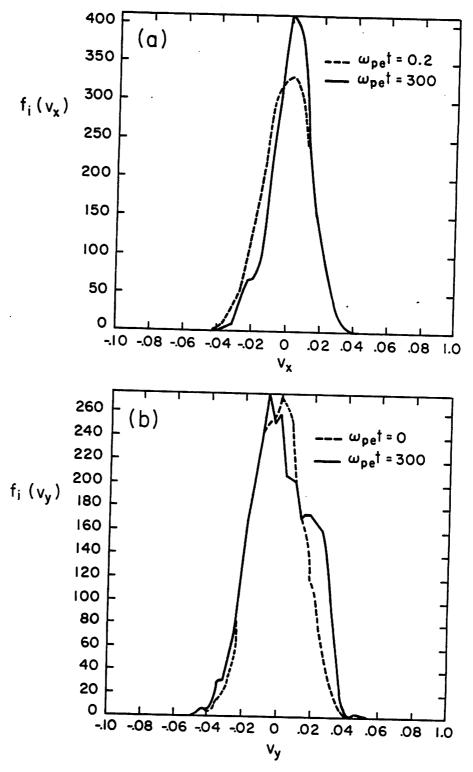


Fig. 8 Ion velocity distribution functions averaged over the most unstable region ( x=2. to x=3 )at  $\omega_{pe} t=0$  ( dashed curve ) and after saturation ( solid curve ) versus (a)  $\nu_x$  and (b)  $\nu_y$  for  $\nu_{Eq}/\nu_{tt}=3.6$ . Flattening in both negative  $\nu_x$  region and positive  $\nu_y$  region shows that the wave propagates up the density gradient.

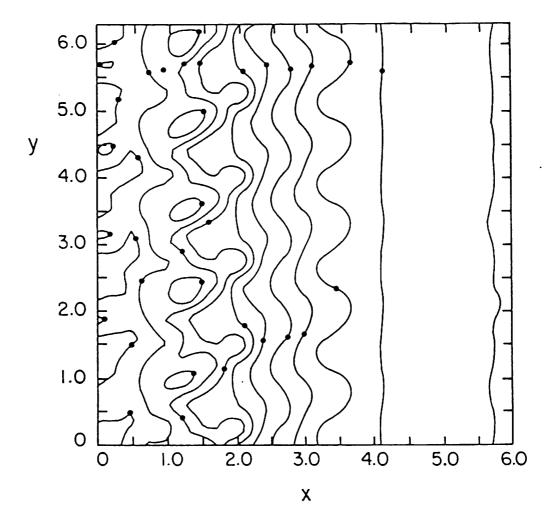


Fig. 9 Electron density contours for a single mode (  $k_y \lambda_{Di} = 0.707$  ) at  $\omega_{pe} t = 179.8$  with  $\nu_{E_0}/\nu_{tt} = 0.9$ . Note similarity with Fig. 6  $\phi$  contours, implying that electrons move along the potential contours and  $\overline{E} \times \overline{B}$  trapping occurs around x = 1.2.

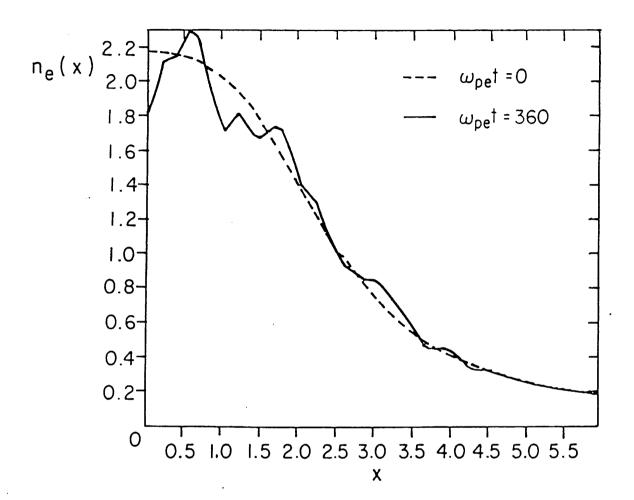


Fig. 10 Electron density profile at  $\omega_{pe}t=0$  ( dashed curves ) and after saturation ( solid curves ) for  $m_i/m_e=100$ ,  $\omega_{pe}^2/\omega_{ce}^2=1$ , and  $v_{E_0}/v_{ti}=0.9$ . The flattening around x=1.2 is due to electron  $\overline{E}\times\overline{B}$  trapping, and the second flattening between x=2.5 and 3.0 is caused by ion quasilinear diffusion in the most unstable region.

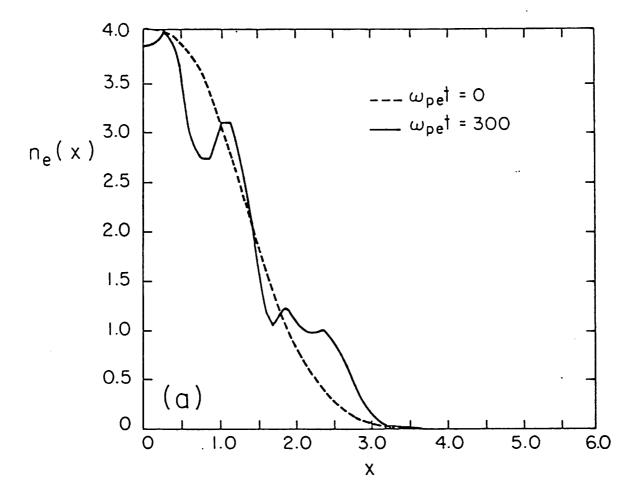


Fig. 11 Electron density profile at  $\omega_{pe}t=0$  (dashed curves ) and after saturation ( solid curves ) for  $m_i/m_e=100$ ,  $\omega_{pe}^2/\omega_{ce}^2=1$ , and  $v_{Eq}/v_n=3.6$ . Electron  $\vec{E}\times\vec{B}$  trapping modifies the electron density profile around x=1. The density modification due to ion quasilinear diffusion is occurred between x=2.0 and 2.5 which is the most unstable region.

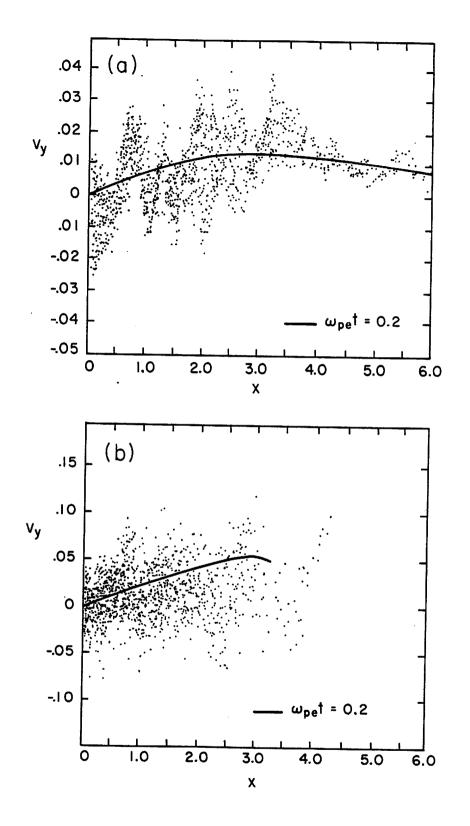


Fig. 12 Electron phase space ( $\nu_y$  versus x) for  $\nu_{E_q}/\nu_{ti}$  = (a) 0.9 and (b) 3.6, respectively. The initial electron temperature is zero and the initial drift velocities are given in solid curves. The averaged electron current was reduced after saturation of the wave around the largest drift velocity regions.

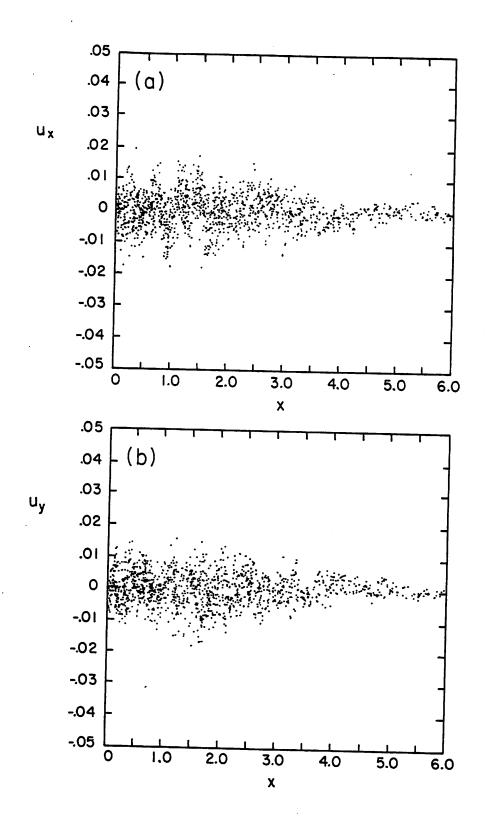


Fig. 13 Electron phase space plots after subtracing the guiding center velocity from the total electron velocity. (a) Kinetic velocity  $u_x$  versus x, and (b)  $u_y$  versus y, where  $\overline{u}=\overline{v}-c\overline{E}\times\overline{B}/B^2$  and  $\overline{E}$  is the total electric field in the system. The spreading in  $u_x$  and  $u_y$  shows that electrons were heated during the growth of the wave around the electron resonant region (  $x\approx1.2$  ), and then the heat is diffused to the whole system.

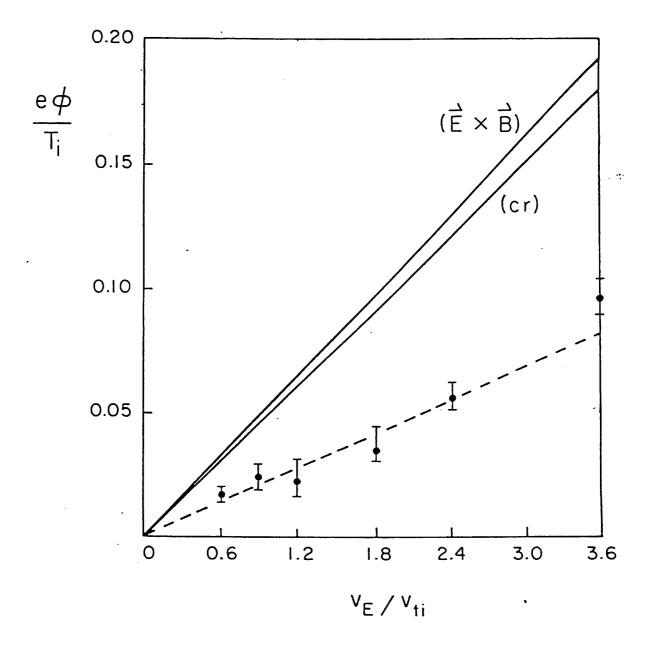


Fig. 14 Saturated lower hybrid drift mode perturbed potential  $e\phi/T_i$  as function of  $v_{E_0}/v_{ii}$  for  $m_i/m_e=100$ ,  $\omega_{pe}^2/\omega_{ee}^2=1$ , and  $T_e/T_i=0$ . Two saturation mechanisms are compared: current relaxation (cr) and electron  $\vec{E}\times\vec{B}$  trapping ( $\vec{E}\times\vec{B}$ ). Simulation results are represented by dots, roughly half that of either theory given separately.